

MEDICAL POLICY

MEDICAL POLICY DETAILS	
Medical Policy Title	Heart & Heart/Lung Transplant
Policy Number	7.02.06
Category	Technology Assessment
Original Effective Date	07/02/99
Committee Approval Date	05/17/01, 04/17/02, 07/17/03, 06/17/04, 03/17/05, 02/16/06, 02/15/07, 01/17/08, 03/19/09, 03/18/10, 03/17/11, 03/15/12, 02/21/13, 02/20/14
Current Effective Date	10/16/23
Deleted Date	N/A
Archived Date	02/19/15
Archive Review Date	03/17/16, 03/16/17, 03/15/18, 04/18/19, 4/16/20, 04/15/21, 04/21/22, 06/22/23
Product Disclaimer	<ul style="list-style-type: none"> • <i>If a product excludes coverage for a service, it is not covered, and medical policy criteria do not apply.</i> • <i>If a commercial product (including an Essential Plan or Child Health Plus product), medical policy criteria apply to the benefit.</i> • <i>If a Medicaid product covers a specific service, and there are no New York State Medicaid guidelines (eMedNY) criteria, medical policy criteria apply to the benefit.</i> • <i>If a Medicare product (including Medicare HMO-Dual Special Needs Program (DSNP) product) covers a specific service, and there is no national or local Medicare coverage decision for the service, medical policy criteria apply to the benefit.</i> • <i>If a Medicare HMO-Dual Special Needs Program (DSNP) product DOES NOT cover a specific service, please refer to the Medicaid Product coverage line.</i>

POLICY STATEMENT

I. Heart Transplant:

- A. Improved outcomes in the treatment of heart failure in response to medical therapy, coupled with the critical shortage of donor organs, makes it imperative to restrict heart transplants to patients who are most disabled and are likely to derive the maximum benefit from transplantation. Hemodynamic and functional criteria are helpful in identifying these patients.

Based upon our criteria and assessment of the peer-reviewed literature, heart transplantation for carefully selected individuals with end-stage heart disease that is unresponsive to any other medical or surgical therapeutic measures has been medically proven to be effective and, therefore, is considered **medically appropriate** when recipient selection criteria have been met.

- B. The following are guidelines set forth by the American College of Cardiology (ACC) and the United Network for Organ Sharing (UNOS), which will be utilized in considering recipients for heart transplantation. The following are acceptable, probable and inadequate listing criteria guidelines for potential transplant patients:

1. *Acceptable* indications for cardiac transplantation:
 - a. Maximal VO_{2max} (peak exercise oxygen consumption) less than 10ml/kg/min with achievement of anaerobic metabolism;
 - b. Severe ischemia consistently limiting routine activity not amenable to bypass surgery or angioplasty;
 - c. Recurrent symptomatic ventricular arrhythmias refractory to ALL therapeutic modalities; and
 - d. Cardiogenic shock or low output state requiring mechanical or inotropic support.

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 2 of 7

2. *Probable* indications for cardiac transplantation:
 - a. Symptomatic heart failure with peak VO_{2max} less than 14 ml/kg/min;
 - b. Recurrent unstable ischemia not amenable to bypass surgery or angioplasty; and
 - c. Instability of fluid balance/renal function not due to patient non-compliance with regime of weight monitoring, flexible use of diuretic drugs, and salt restriction.
3. *Inadequate* indications for cardiac transplantation:
 - a. Ejection fraction less than 20% with mild to moderate symptoms;
 - b. History of functional class (NYHA Class) III or IV symptoms or transient need for inotropic support on a suboptimal medical regime;
 - c. Previous ventricular arrhythmias that are now controlled; and
 - d. Maximal VO_{2max} greater than 15 ml/kg/min without other indications.

C. UNOS has developed a method for prioritizing patients awaiting donor hearts assuring equitable distribution of organs. The system establishes objective medical urgency status levels that ensure that patients who have the most urgent medical need and have a high likelihood of survival following surgery have the best chance of getting an organ. In 2018, a new 6 tier allocation system was introduced. The levels are 1, 2, 3, 4, 5 and 6 to prioritize the sickest patients with the goal of reducing waitlist mortality. Changes were made to address the increasing number of patients on the transplant waiting list, to better account for the severity of illness, and to reflect for an increasing population of patients being supported with a left ventricular assist device (LVAD). There are separate status criteria for pediatric patients that UNOS updated in 2016. The UNOS Adult Thoracic Organ Status criteria are:

Old allocation system	New allocation system	Listing Criteria
Status 1A	Status 1	<ul style="list-style-type: none"> • Venoarterial extracorporeal membrane oxygenation (VA-ECMO), • Nondischargeable, surgically implanted, nonendovascular biventricular support device, • Mechanical circulatory assist device (MCSD) with life-threatening ventricular arrhythmia.
	Status 2	<ul style="list-style-type: none"> • Intra-aortic balloon pump (IABP), • Nondischargeable, surgically implanted, nonendovascular left ventricular assist device (LVAD), • Ventricular tachycardia (VT) or ventricular fibrillation (VF) without mechanical support, • MCSD with device malfunction or failure, • Total artificial heart (TAH), BiVAD, RVAD, or VAD for single ventricle patients, • Percutaneous endovascular MCSD.
	Status 3	<ul style="list-style-type: none"> • Dischargeable LVAD for discretionary 30 days, • Multiple inotropes or single high-dose inotrope with continuous hemodynamic monitoring, • Single inotrope with continuous monitoring, • VA-ECMO after 7 days; IABP or percutaneous endovascular circulatory support device after 14 days • Nondischargeable, surgically implanted, nonendovascular LVAD after 14 days, • Mechanical support device with complication.

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 3 of 7

Status 1B	Status 4	<ul style="list-style-type: none">• Dischargeable LVAD without discretionary 30 days,• Inotropes without hemodynamic monitoring,• Retransplant,• Diagnosis of CHD, ischemic heart disease with intractable angina, hypertrophic cardiomyopathy (CM), restrictive CM, amyloidosis.
Status 2	Status 5	<ul style="list-style-type: none">• On waitlist for at least one other organ at the same hospital.
	Status 6	<ul style="list-style-type: none">• All other active candidates

II. Heart-Lung Transplant:

Based upon our criteria and assessment of the peer-reviewed literature, *heart-lung transplantation* for carefully selected individuals with end-stage cardiac and pulmonary disease that is unresponsive to any other medical or surgical therapeutic measures has been medically proven to be effective and, therefore, is considered **medically appropriate** when recipient selection criteria have been met.

The diagnoses appropriate for consideration for heart-lung transplantation include but are not limited to:

- A. Irreversible primary pulmonary hypertension with heart failure;
- B. Non-specific severe pulmonary fibrosis;
- C. Eisenmenger complex with irreversible pulmonary hypertension and heart failure;
- D. Cystic fibrosis with severe heart failure;
- E. Chronic obstructive pulmonary disease with heart failure;
- F. Emphysema with severe heart failure; and
- G. Pulmonary fibrosis with uncontrollable pulmonary hypertension or heart failure.

III. Recipient Selection Guidelines:

Each individual considered for thoracic transplantation will be evaluated by the transplant center for potential difficulties that would complicate and diminish the success of transplantation. Consideration will be given to the patient's risk of death without transplantation, along with the presence and severity of potential contraindications to transplantation.

IV. Relative contraindications to heart or heart lung transplantation include, but are not limited to:

- A. For heart transplantation alone:
 1. Pulmonary hypertension; and
 2. Severe pulmonary disease (an FEV₁ of less than 50% predicted) despite optimal medical therapy;
- B. Irreversible hepatic dysfunction;
- C. Irreversible renal dysfunction;
- D. Systemic infection making immune suppression unsafe including but not limited to, hepatitis b virus, cytomegalovirus (positive donor to a negative recipient), and HIV infection unless ALL of the following criteria are met:
 1. CD4 count greater than 200 cells/mm³;
 2. HIV-1RNA undetectable;
 3. On stable anti-retroviral therapy greater than three months;
 4. No other complications from AIDS (e.g., opportunistic infection, including aspergillus, tuberculosis, coccidioidomycosis; resistant fungal infections, Kaposi's sarcoma, or other neoplasm); and
 5. Meets all other criteria for transplantation
- E. Psychosocial instability - a pattern of non-adherence to medical therapies to such a degree that it may jeopardize the success of a transplant;
- F. Neuromuscular neurological disorder that necessitates chronic placement with no likelihood of improvement;

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 4 of 7

- G. Presence of malignancy (other than non-melanoma skin cancers) unless malignancy has been completely resected or unless (upon medical review) it is determined that malignancy has been treated with small likelihood of recurrence and acceptable future risks;
- H. Other life-limiting illness or conditions;
- I. History of ongoing or recent substance abuse; including nicotine addiction;
- J. Active peptic ulcer disease; and
- K. Severe peripheral vascular disease.

POLICY GUIDELINES

- I. Prior authorization is contract dependent. Approvals for all transplants, including arrangements with an approved transplant center, may be required.
- II. Pre-transplant evaluation documentation could include the following clinical information. If testing is unable to be performed, the rationale for not performing the testing **must** be included in the documentation:
 - A. Clinical Evaluation:
 - 1. Confirmation of diagnosis;
 - 2. Identification of comorbidities;
 - 3. Treatment of co-morbidities;
 - 4. Current assessment of co-morbidities;
 - 5. Consult notes (*if applicable*).
 - B. Psycho-Social Evaluation:
 - 1. Karnofsky performance score and/or Palliative Performance Scale (PPS) score;
 - 2. Identification of stressors (family support, noncompliance issues, motivational issues, alcohol, or substance abuse).
 - C. Dental Evaluation.
 - D. Lab Tests:
 - 1. CBC, metabolic profile;
 - 2. Serologies: CMV, Hepatitis B and C;
 - 3. HIV Testing.
 - E. Cardiac Assessment:
 - 1. 12 Lead EKG;
 - 2. Stress (exercise, nuclear, or dobutamine);
 - 3. Echo or MUGA Scan.
 - F. Pulmonary Assessment:
 - 1. Chest x-ray;
 - 2. Pulmonary function tests (PFTs);
 - 3. Low dose screening CT for individuals considered high-risk for lung cancer (e.g., 20-30 pack history of smoking).
 - G. Age Appropriate Screening Tests: Please refer to the U.S Preventive Services Task Force (USPSTF) website for list of age appropriate screening guidelines. [<https://uspreventiveservicestaskforce.org/uspstf/>].
- III. Re-authorization
Transplant re authorization must be completed annually while actively waiting for a transplant. Re-authorization documentation must be within the past eleven months (unless specified) and include the following clinical information (if testing is unable to be performed, the rationale must be included in the documentation). If your health condition has not changed from the previous year some testing would not be applicable.
 - A. Clinical Evaluation:

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 5 of 7

1. Updated list of diagnoses to include identification of comorbidities, current assessment, and treatment plan;
 2. Specialty consultation notes (*if applicable*)
- B. Current functional ability as evidence by current Karnofsky performance score (KPS); and/or Palliative Performance Scale (PPS) score.
- C. Follow-up Dental Evaluation (*if applicable*, i.e., full dentures)
- D. Lab Tests: unless clinically contraindication
1. CBC, metabolic profile;
 2. Serologies: CMV; Hepatitis B and C; and
 3. HIV testing (*if applicable*)
- E. Cardiac Assessment:
1. 12 Lead EKG (*if applicable*);
 2. Stress (exercise, nuclear, or dobutamine) (*if applicable*); and
 3. Echo or MUGA Scan (*if applicable*).
- F. Pulmonary Assessment:
1. Chest x-ray; (*if applicable*);
 2. Pulmonary function tests (PFTs) (*if applicable*);
 3. Low-dose screening CT for individuals considered high-risk for lung cancer (e.g., 20- to 30-pack history of smoking)
- G. Age-appropriate Screening Tests: Please refer to the U.S Preventive Services Task Force (USPSTF) website for list of age-appropriate screening guidelines. [<https://uspreventiveservicestaskforce.org/uspstf/>].

DESCRIPTION

A heart transplant involves replacing a diseased heart with a healthy donor heart. The combined heart-lung transplantation is intended to prolong survival and improve function in patients with end-stage cardiopulmonary or pulmonary disease that have been unresponsive to any other therapies. These procedures are performed on *selected* patients with end stage heart and pulmonary disease.

RATIONALE

Heart and heart/lung transplantation represents the only curative approach for many carefully screened patients with end-stage or congenital heart and pulmonary disease. Transplantation is limited due to the profound shortage of donor hearts and lungs.

Advances in donor and recipient selection, improved surgical techniques, new immunosuppressive drugs, and better management of infections have improved long term survival.

Solid organ transplantation for candidates who are HIV positive (HIV+) has long been controversial, due to the long-term prognosis for HIV positivity, and the impact of immunosuppression on HIV disease. Although HIV+ transplant recipients may be a research interest of some transplant centers, the minimal data regarding long-term outcome in these patients consist primarily of case reports and abstract presentations of liver and kidney recipients. Nevertheless, some transplant surgeons would argue that HIV positivity is no longer an absolute contraindication to transplant due to the advent of highly active antiretroviral therapy (HAART), which has markedly changed the natural history of the disease. Furthermore, UNOS has stated its position that asymptomatic HIV+ patients should not necessarily be excluded for candidacy for organ transplantation: "A potential candidate for organ transplantation whose test for HIV is positive but who is in an asymptomatic state should not necessarily be excluded from candidacy for organ transplantation, but should be advised that he or she may be at increased risk of morbidity and mortality because of immunosuppressive therapy." In 2001, the Clinical Practice Committee of the American Society of Transplantation proposed that the presence of AIDS could be considered a contraindication to kidney transplant, unless the specific criteria listed in this policy regarding HIV status and heart transplants have been met.

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 6 of 7

CODES

- Eligibility for reimbursement is based upon the benefits set forth in the member's subscriber contract.
- **CODES MAY NOT BE COVERED UNDER ALL CIRCUMSTANCES. PLEASE READ THE POLICY AND GUIDELINES STATEMENTS CAREFULLY.**
- Codes may not be all inclusive as the AMA and CMS code updates may occur more frequently than policy updates.
- Code Key: Experimental/Investigational = (E/I), Not medically necessary/appropriate = (NMN).

CPT Codes

Code	Description
33933	Backbench standard preparation of cadaver donor heart/lung allograft prior to transplantation, including dissection of allograft from surrounding soft tissues to prepare aorta, superior vena cava, inferior vena cava, and trachea for implantation
33935	Heart-lung transplant with recipient cardiectomy-pneumonectomy
33944	Backbench standard preparation of cadaver donor heart/lung allograft prior to transplantation, including dissection of allograft from surrounding soft tissues to prepare aorta, superior vena cava, inferior vena cava, pulmonary artery, and left atrium for implantation
33945	Heart transplant, with or without recipient cardiectomy

Copyright © 2023 American Medical Association, Chicago, IL

HCPCS Codes

Code	Description
No code(s)	

ICD10 Codes

Code	Description
Various	

REFERENCES

- *Benden C, et al. The registry of the international society for heart and lung transplantation: fifteenth pediatric lung and heart-lung transplantation report-2012. J Heart Lung Transplant 2012; 31(10):1087-95.
- *Boucek RJ, et al. Pediatric heart transplantation. Curr Opin Pediatr 2002;14:611-9.
- *Butler J, et al. Selection of patients for heart transplantation in the current era of heart failure therapy. J Am Coll Cardiol 2004 Mar3;43(5):787-93.
- Bravo-Jaimes K et al. Impact of the new UNOS donor heart allocation system on waitlist outcomes and early posttransplant mortality among adults with congenital heart disease Am J Transplant 2022 Apr;22(4):1123-1132.
- *Colvin-Adams M, et al. OPTN/SRTR 2012 Annual Data Report: Heart. Am J Heart Transplant 2014 Jan;14 Suppl 1:113-38.
- *Dec GW. Cardiac transplantation. In: Ginns LC et al. Eds. Transplant Blackwell Science, Inc;1999:438-73.
- *Deng MC, et al. Selecting patients for heart transplantation: which patients are too well for transplant? Curr Opin Cardiol 2002;17:137-44.

Medical Policy: Heart & Heart/Lung Transplant

Policy Number: 7.02.06

Page: 7 of 7

Gentzler D., Fantis P. “Unprecedented pig heart transplant could help solve organ shortage”. [https://www.nbcwashington.com/news/health/pig-heart-transplant-could-help-solve-organ-shortage/2980703/] accessed on 03/02/23.

Johnson, C. “In 1st, US Surgeons Transplant Pig Heart Into Human Patient”. *10/Jan/2022*. The Associated Press Health and Science Department. <https://www.wdbj7.com/2022/01/10/1st-us-surgeons-transplant-pig-heart-into-human-patient/> accessed 03/02/23.

Khush, KK, et al. The international thoracic organ transplant registry of the International Society of Heart and Lung transplantation: 37th adult heart transplantation report-2020. focus on deceased donor characteristics. J Heart Lung Transplant 2020:Oct;39(10):1003-1015.

Liu J, et al. Impact of new UNOS allocation criteria on heart transplant practices and outcomes. Transplant Direct 2020 Dec 15;7(1):e642.

*Maurer JR, et al. International guidelines for the selection of lung transplant candidates. Developed by the International Society for Heart and Lung Transplantation, American Thoracic Society, American Society of Transplant Physicians, and European Respiratory Society. Heart Lung 1998 Jul-Aug;27(4):223–9.

Riggs KW, et al. Pediatric heart-lung transplantation: A contemporary analysis of outcomes. Pediatr Transplant 2020 May;24(3):e13682.

Saito A, et al. Early and late outcomes after cardiac retransplantation. Can J Surg 2013 Feb;56(1):21-6.

Shin MS, et al. Modern outcomes of heart-lung transplantation: assessing the impact of the updated US allocation system. European Journal of Cardio-Thoracic Surgery 2023 Dec; 63(1) doi:10.1093/ejcts/ezac559.

*Steinman TI, et al. The clinical practice committee of the American Society of Transplantation. Guidelines for the referral and management of patients eligible for solid organ transplantation. Transplant 2001;71(9):1189-204.

*Key Article

CMS COVERAGE FOR MEDICARE PRODUCT MEMBERS

There is currently a National Coverage Determination (NCD) for Heart Transplants. Please refer to the following NCD website for Medicare Members:[<http://www.cms.gov/medicare-coverage-database/details/ncd-details.aspx?NCDId=112&ncdver=3&bc=AgAAgAAAAAAA&>]